

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

MAY 24 2001

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APPLICANT:
Ronald A. Katz

MAY 25 2001

FILING DATE:
September 14, 1994GROUP: Technology Center 2600
2643

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|---------------------|-----------------|---------|------------------|-------|--------------|----------------|
| SW | 3,727,186 | 04/1973 | Stephenson | | | |
| | 4,599,493 | 07/1986 | Ellis Cave | | | |
| | 4,769,834 | 09/1988 | Billinger et al. | | | |
| | 4,829,563 | 06/1989 | Crockett et al. | | | |
| | 4,881,261 | 07/1986 | Oliphant et al. | | | |
| | 4,899,375 | 02/1990 | Bauer et al. | | | |
| SW | 4,942,598 | 07/1990 | Davis | | | |

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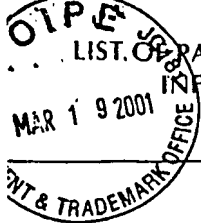
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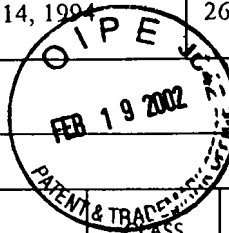
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| SW | A | "Network Communications Applications and Services," AT&T Communications Consultant Liaison Program, Issue 1, June 1984 |
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| SW | 3,787,632 | 01/1974 | Male et al. | ----- | ----- | ----- |
| | 3,940,569 | 02/1976 | Schonbrun | ----- | ----- | ----- |
| | 3,987,252 | 10/1976 | Vicari | ----- | ----- | ----- |
| | 4,068,099 | 01/1978 | Mikkola | ----- | ----- | ----- |
| | 4,087,638 | 05/1978 | Hayes et al. | ----- | ----- | ----- |
| | 4,090,034 | 05/1978 | Moylan | ----- | ----- | ----- |
| | 4,160,129 | 07/1979 | Peyser et al. | ----- | ----- | ----- |
| | 4,192,972 | 03/1980 | Bertoglio et al. | ----- | ----- | ----- |
| | 4,221,933 | 09/1980 | Cornell et al. | ----- | ----- | ----- |
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| | 4,360,875 | 11/1982 | Behnke | ----- | ----- | ----- |
| | 4,401,856 | 08/1983 | Curtin et al. | ----- | ----- | ----- |
| | 4,428,296 | 01/1984 | Scheuchzer et al. | ----- | ----- | ----- |
| | 4,445,001 | 04/1984 | Bertoglio | ----- | ----- | ----- |
| | 4,517,412 | 05/1985 | Newkirk et al. | ----- | ----- | ----- |
| | 4,518,824 | 05/1985 | Mondardini | ----- | ----- | ----- |
| | 4,549,291 | 10/1985 | Renoulin | ----- | ----- | ----- |
| | 4,585,903 | 04/1986 | Schiller et al. | ----- | ----- | ----- |
| | 4,591,665 | 05/1986 | Foster et al. | ----- | ----- | ----- |
| | 4,600,809 | 07/1986 | Tatsumi et al. | ----- | ----- | ----- |
| | 4,611,096 | 09/1986 | Asmuth et al. | ----- | ----- | ----- |
| SW | 4,625,081 | 11/25/86 | Lotito et al. | ----- | ----- | ----- |

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| Sw | 4,640,991 | 02/1987 | Matthews et al. | ----- | ----- | ----- |
| | 4,672,660 | 06/1987 | Curtin | ----- | ----- | ----- |
| | 4,685,127 | 08/1987 | Miller et al. | ----- | ----- | ----- |
| | 4,747,124 | 05/1988 | Ladd | ----- | ----- | ----- |
| | 4,759,056 | 07/1988 | Akiyama | ----- | ----- | ----- |
| | 4,761,808 | 08/1988 | Howard | ----- | ----- | ----- |
| | 4,791,666 | 12/1988 | Cobb et al. | ----- | ----- | ----- |
| | 4,797,818 | 01/1989 | Cotter | ----- | ----- | ----- |
| | 4,805,207 | 02/1989 | McNutt et al. | ----- | ----- | ----- |
| | 4,878,240 | 10/1989 | Lin et al. | ----- | ----- | ----- |
| | 4,893,325 | 01/1990 | Pankonen et al. | ----- | ----- | ----- |
| | 4,896,346 | 1/1990 | Belfield et al. | ----- | ----- | ----- |
| | 4,899,373 | 02/1990 | Lee et al. | ----- | ----- | ----- |
| | 4,908,852 | 03/1990 | Hird et al. | ----- | ----- | ----- |
| | 4,922,519 | 05/1990 | Daudelin | ----- | ----- | ----- |
| | 4,933,965 | 02/1991 | Hird | ----- | ----- | ----- |
| | 4,989,234 | 01/1991 | Schakowsky et al. | ----- | ----- | ----- |
| | 5,036,535 | 07/1991 | Gechter et al. | ----- | ----- | ----- |
| | 5,199,062 | 03/1993 | Von Meister et al. | ----- | ----- | ----- |
| | 5,222,120 | 06/1993 | McLeod et al. | ----- | ----- | ----- |
| | 5,299,260 | 03/1994 | Shaio | ----- | ----- | ----- |
| Sw | 5,303,298 | 04/1994 | Morganstein | ----- | ----- | ----- |

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"ISDN - Proceedings of the conference held in San Francisco, November 1986," OnLine, New York: London

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"4 ESS System Evolution," Bell System Technical Journal, August 1981

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| S | 5,303,299 | 04/1994 | Hunt et al. | | | |
| | 5,354,069 | 10/1994 | Guttman et al. | | | |
| | 5,418,844 | 05/1995 | Morrissey et al. | | | |

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|---------------------|-----------------|---------|---------|-------|--------------|-----------------------|
| | 0 249 795 | 12/1987 | EPC | | | No |
| | 2 575 016 | 07/1986 | France | | | No |
| | WO 82/02132 | 06/1982 | PCT | | | yes |

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| | Dial Info Articles (various articles with various dates) (R0016101-R0016188) |
| | Svigals, J., "Security Method For Remote Telephone Banking," IBM Technical Disclosure Bulletin, Vol. 23, No. 12, May 1981, pp. 5306-5307 (pb424) |
| | AT&T Conversant Voice Response Systems Historical Overview, January 1988 (FD 023585-FD023596) |
| | "All About Automated Attendant Systems," Datapro Research Corporation, March 1987 (SM 1000682-SM 1000691) |
| | "New Product - Dytel's Automated Switchboard Attendant," reprinted from Business Communications Review, March-April 1984, pp. 39-41 (SM10006976-SM1000699) |
| | Arbogast, James G. et al., "Home Diabetes Monitoring Through Touch-Tone Computer Data Entry and Voice Synthesizer Response," Annual Symposium on Computer Applications in Medical Care 8th Care Proceedings - Eighth Annual Symposium on Computer Applications in Medical Care, 1984 (MMI 020731) |
| | Perdue, Robert J., et al., "AT&T Voice Processing System Architectures," AT&T Technical Journal, September/October 1990, pp. 52-60 (MMI 024142-MMI 024151) |
| | Sable, E.G., et al., "AT&T Network Services Architecture Capabilities, Administration and Performance," AT&T Technical Papers, International Switching Symposium-ISS '87, AT&T Network Systems, March 15, 1987 |
| | "The Stored Program Controlled Network" The Bell System Technical Journal, September 1982 |
| SW | The World's Telephones, a Statistical Compilation as of January 1980, AT&T Long Lines, 1981 (Book) |

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|---------------------|-----------------|---------|-------------------------|-------|--------------|----------------|
| SW | 3,553,378 | 01/1971 | Solomon, et al. | ----- | ----- | ----- |
| | 3,829,628 | 08/1974 | Tripsas | ----- | ----- | ----- |
| | 4,565,903 | 01/1986 | Riley | ----- | ----- | ----- |
| | 4,581,486 | 04/1986 | Matthews, et al. | ----- | ----- | ----- |
| | 4,750,199 | 06/1988 | Norwich | ----- | ----- | ----- |
| | 4,761,807 | 08/1988 | Matthews, et al. | ----- | ----- | ----- |
| | 4,782,519 | 11/1988 | Patel, et al. | ----- | ----- | ----- |
| | 4,785,473 | 11/1988 | Pfeiffer, et al. | ----- | ----- | ----- |
| | 4,799,255 | 01/1989 | Billinger, et al. | ----- | ----- | ----- |
| | 4,856,066 | 08/1989 | Lemelson | ----- | ----- | ----- |
| | 4,932,021 | 06/1990 | Moody | ----- | ----- | ----- |
| | 4,951,307 | 08/1990 | Willard | ----- | ----- | ----- |
| | 4,959,855 | 09/1990 | Daudelin | ----- | ----- | ----- |
| | 5,033,076 | 07/1991 | Jones, et al. | ----- | ----- | ----- |
| | 5,033,088 | 07/1991 | Shipman | ----- | ----- | ----- |
| | 5,054,059 | 10/1991 | Stern, et al. | ----- | ----- | ----- |
| | 5,125,024 | 06/1992 | Gokcen, et al. | ----- | ----- | ----- |
| | 5,148,474 | 06/1992 | Haralambopoulos, et al. | ----- | ----- | ----- |
| | 5,164,981 | 11/1992 | Mitchell, et al. | ----- | ----- | ----- |
| | 5,168,548 | 12/1992 | Kaufman, et al. | ----- | ----- | ----- |
| | 5,179,585 | 01/1993 | MacMillan, Jr., et al. | ----- | ----- | ----- |
| SW | 5,181,236 | 01/1993 | LaVallee, et al. | ----- | ----- | ----- |

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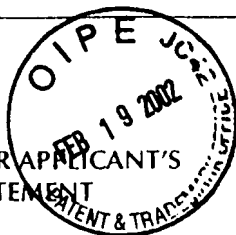
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| S | 5,186,471 | 02/1993 | Vancraeynest | ----- | ----- | ----- |
| | 5,214,689 | 05/1993 | O'Sullivan | ----- | ----- | ----- |
| | 5,236,199 | 08/1993 | Thompson, Jr. | ----- | ----- | ----- |
| | 5,289,531 | 02/1994 | Levine | ----- | ----- | ----- |
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| | 5,361,295 | 11/1994 | Solomon, et al. | ----- | ----- | ----- |
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| | 5,416,830 | 05/1995 | MacMillan, Jr., et al. | ----- | ----- | ----- |
| | 5,511,112 | 04/1996 | Szlam | ----- | ----- | ----- |
| | 5,537,143 | 07/1996 | Steingold, et al. | ----- | ----- | ----- |
| | 5,561,710 | 10/1996 | Helms | ----- | ----- | ----- |
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| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION YES NO |
|---------------------|-----------------|---------|---------------|-------|--------------|-----------------------|
| | 1162484 | 04/1967 | Great Britain | ----- | ----- | Yes |
| | WO 89/11768 | 11/1989 | PCT | ----- | ----- | Yes |
| | WO 90/10989 | 09/1990 | PCT | ----- | ----- | Yes |
| | WO 90/11661 | 10/1990 | PCT | ----- | ----- | Yes |
| | WO 91/15818 | 10/1991 | PCT | ----- | ----- | Yes |
| S | WO 92/06548 | 04/1992 | PCT | ----- | ----- | Yes |

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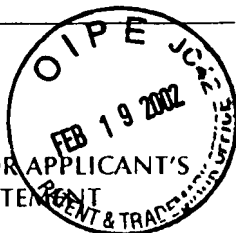
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| Sw | 4,689,742 | 08/25/87 | Troy et al. | ----- | ----- | ----- |
| | 4,710,955 | 12/01/87 | Kauffman | ----- | ----- | ----- |
| | 4,832,341 | 05/22/89 | Muller | ----- | ----- | ----- |
| | 4,835,630 | 05/1989 | Freer | ----- | ----- | ----- |
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| | 5,351,285 | 09/1994 | Katz | ----- | ----- | ----- |
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| | 5,475,205 | 12/12/95 | Behm et al. | ----- | ----- | ----- |
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| | 5,537,143 | 07/16/96 | Steingold et al. | ----- | ----- | ----- |
| | 5,599,046 | 02/14/97 | Behm et al. | ----- | ----- | ----- |
| | 5,709,603 | 01/20/98 | Kaye | ----- | ----- | ----- |
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| S | 5,815,551 | 09/1998 | Katz | ----- | ----- | ----- |
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| | 32 25 562 | 01/19/84 | Germany | ----- | ----- | No |
| | 2 252 270 B | 08/1992 | Great Britain | ----- | ----- | yes |
| | 0 217 308 A2 | 04/08/87 | Europe | ----- | ----- | yes |
| | 0 917 335 A2 | 05/19/99 | Europe | ----- | ----- | yes |
| | 246067/1988 | 10/13/88 | Japan | ----- | ----- | No |
| | 009353/1988 | 01/16/88 | Japan | ----- | ----- | No |
| | 117436/1989 | 05/10/89 | Japan | ----- | ----- | No |
| | 54-62708 | 05/21/79 | Japan | ----- | ----- | No |
| | 52-17739 | 02/09/77 | Japan | ----- | ----- | No |
| | 59-83270 | 05/14/84 | Japan | ----- | ----- | No |
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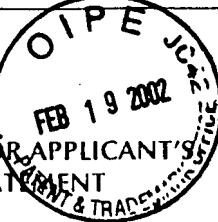
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| S | | Inquiry Letter To The F.C.C., From Attorneys For the Prior Title Holder Seeking Rulings That A Particular Game wold Not Be Considered A Lottery Under F.C.C. Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings |
| | | Reply Letter From The F.C.C. To The Inquiry Letter Stating The Requested Rulings |
| | | A page (page 7) from literature on the Charles Schwab corporation, which is not dated nor identified. |
| | | A page (page 4) from an annual report dated March 1, 1989, though the actual date on which the report was distributed to the public is unknown. |
| | | An early brochure based on a March, 1989, survey by Charles Schwab & Co., Inc. |
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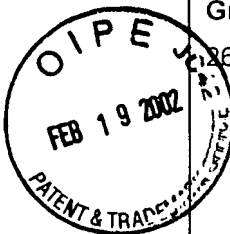
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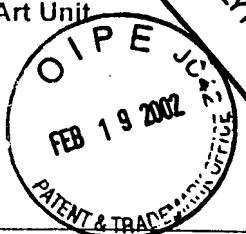


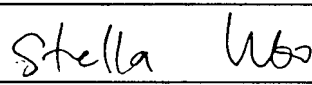
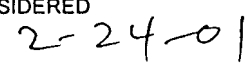
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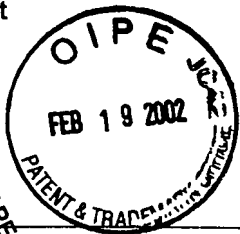
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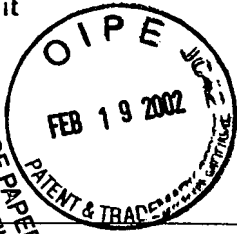
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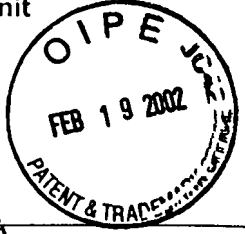


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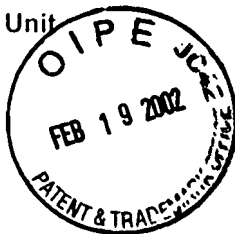
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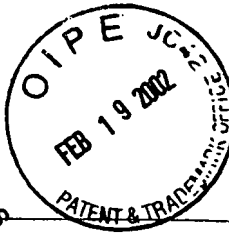
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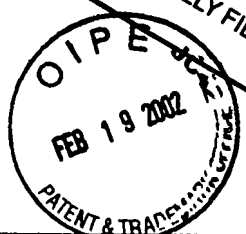
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| EXAMINER <i>Stella Wbo</i> | DATE CONSIDERED <i>2-24-01</i> |
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.